EXHIBIT 300   **UII 014-000000329**

Exhibit 300: Capital Asset Summary
Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2009-06-30
Date of Last Change to Activities: 2012-02-28
Investment Auto Submission Date: 2012-02-28
Date of Last Investment Detail Update: 2012-07-31
Date of Last Exhibit 300A Update: 2012-07-31
Date of Last Revision: 2012-08-31

Agency: 014 - Department of State   **Bureau:** 00 - Agency-Wide Activity

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: Foreign Assistance Coordination and Tracking System (FACTS)

2. Unique Investment Identifier (UII): 014-000000329

Section B: Investment Detail

1. **Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

   The FACTS Program centralizes and consolidates all DoS and USAID foreign assistance planning, budgeting, and performance reporting. This includes the eight USG agencies implementing the President s Emergency Plan for AIDS Relief (PEPFAR) for the State Department Office of the Global AIDS Coordinator (S/GAC).   FACTS Info is a data repository and transactional system that does the following: Supports the planning and justification of budgets for upcoming fiscal years; Analyzes and reports budget, program, and performance data for prior and current fiscal years; Details the budgets and performance of prior fiscal years; Provides OUs the ability to input their OP; Generates predefined and ad-hoc narrative reports in response to queries from Congress and other stakeholders; Contains an extensive ad hoc querying capability. F and O/GAC are employing an iterative consolidation approach which allows the FACTS project team to incrementally support the President's Emergency Plan for AIDS Relief (PEPFAR) data collection and reporting requirements, while continuing to work towards developing full functionality within FACTS Info, beginning with the FY 2012 Country Operational Plan (COP) data collection. The FACTS Info PEPFAR module will be the primary mechanism for gathering programmatic planning and performance data from implementing agencies within countries that receive PEPFAR funding. The module will serve as a central location for collecting planning and reporting information from the field. In preparation for the FACTS II system's retirement, FACTS Info built new modules for the

EXHIBIT 300   **UII 014-000000329**

Operational Plan (OP) collection and the Performance Plans and Reports (PPR) collection, which began in FY11. FACTS II was retired during FY12.

2. **How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

   The FACTS Program's objectives include: Facilitate budget planning, budget formulation, and budget allocation at the country, regional and HQ levels to ensure that foreign assistance program funds are in alignment with U.S. foreign policy objectives; Enhance DoS and USAID ability to monitor performance and report to Congress and other stakeholders on the utilization of foreign assistance program funds; Provide one repository for data and a common planning and reporting tool for foreign assistance resources across DoS and USAID.   If FACTS Info is not fully funded, we would defer funding set aside for .5 FTE for project management and information specialist support, but this will raise the risk for program oversight and reduce the services offered to customers. The following FACTS Info Operations and Maintenance milestones will be affected to varying degrees: Program management; Program management support tools; Security management; Quality management; Network operations; System operations; Software Operations and Maintenance; Hardware Operations and Maintenance; User support; Help desk; Corrective/Preventative maintenance. Without sufficient funds, F may not be able to perform the necessary maintenance and enhancements required by the FACTS Info stakeholders.

3. **Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

   FY11 accomplishments include the following: Transitioned FACTS II support from an institutional contractor to in-house developers. Transition benefits included better developer responsiveness and faster change request implementations; Developed, tested and deployed functionality in FACT II for 2010 PPR collection; Developed, tested and deployed functionality in FACTS Info for 2011 OP collection. 2011 OP functionality included Streamlining Initiative change requests; Supported the following Budget Formulation processes: OMB Submission, Presidents Budget/Executive Budget Summary (EBS), Congressional Budget Justification (CBJ), and 653(a); FACTS Info hosting was moved from USAID to Qwest and a Continuity of Operations (COOP) site is being set up in Burbank, CA. This new arrangement affords the IS team greater flexibility, enables faster system adjustments, and facilitates slightly faster system performance.

4. **Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

   During BY 2013, FACTS Info will contain modules that collect data currently collected within FACTS II, namely the OP and PPR. In addition, it will contain modules that collect S/GAC s PEPFAR data, namely the Annual Performance Report (APR), Semi-Annual Performance Report (SAPR), Country Operational Plan (COP), Headquarters Operational Plan (HOP), and Reprogramming. PEPFAR implementing agencies include: State, USAID, HHS, DoC, DoD, DoT, and Peace Corps. Development of the PEPFAR data collection module will begin in FY 2011, with final development scheduled for completion in FY 2012.   Though it may be

EXHIBIT 300   **UII 014-000000329**

necessary to pursue a variety of small-scale system improvements and minor modifications, FACTS Info will focus on finalization of the PEPFAR data collection module during FY 2012. High-level objectives of the FACTS program include continued support of the U.S. foreign assistance program, additional training and education of the user communities, expanded access, and system enhancements that improve ad hoc and Congressional reporting capabilities. While F and S/GAC has made headway standardizing certain terminology and processes between the two organizations, such as use of Operating Unit to identify a country, we have found that there may be are additional areas for consolidation. So, looking beyond 2012, F and S/GAC will continue to identify opportunity to harmonize efforts, such as standardizing the way that both organizations perform partner or vendor management, manage system users, and perform indicator management. FACTS Info budget modules will also be developed to formulate the out-year foreign assistance budget requests, the CBJ, EBS, and Congressional Notifications. The FACTS Program will continue to work with the Bureau for Food Security (BFS) to create an interface between FACTS Info and Feed the Future Monitoring System (FTFMS) to exchange OP data. Also, the FACTS Program will finalize a business plan for how it can align to and implement the USAID Streamlining Team's IT recommendations.

5. **Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2010-01-11

EXHIBIT 300   **UII 014-000000329**

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding				
	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	$1.1	$0.0	$0.0	$0.0
DME (Excluding Planning) Costs:	$3.1	$7.3	$3.8	$0.0
DME (Including Planning) Govt. FTEs:	$0.0	$0.2	$0.2	$0.0
Sub-Total DME (Including Govt. FTE):	$4.2	$7.5	$4.0	0
O & M Costs:	$4.8	$0.8	$0.8	$3.9
O & M Govt. FTEs:	$0.6	$0.2	$0.2	$0.4
Sub-Total O & M Costs (Including Govt. FTE):	$5.4	$1.0	$1.0	$4.3
Total Cost (Including Govt. FTE):	$9.6	$8.5	$5.0	$4.3
Total Govt. FTE costs:	$0.6	$0.4	$0.4	$0.4
# of FTE rep by costs:	6	3	3	3

Total change from prior year final President's Budget ($)		$4.6	$0.4	
Total change from prior year final President's Budget (%)		119.10%	7.80%	

EXHIBIT 300   **UII 014-000000329**

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

The FACTS Program Summary of Funding now includes S/GAC funding to build a PEPFAR data capturing module in FACTS Info.

EXHIBIT 300

UII 014-000000329

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy											
Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value ($M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
NONE											

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

The scope of the contracts where earned value is not required do not include O&M activities as defined by OMB, and so EVM is not included as a requirement. We are reporting EV against DME activities.

EXHIBIT 300   **UII 014-000000329**

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-02-28

Section B: Project Execution Data

Table II.B.1 Projects					
Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost ($M)
11	FY11 FACTS Info Development	This initiative includes the Project Planning, Security Planning, Project Management, Hardware/Software, End User Training, Documentation, Delivery & Transfer to Operations, and Enhancements associated with the Budget Formulation requirements, OP, CP, and PPR builds in the FACTS Info system.			
12	FY11 PEPFAR Module Development	This initiative includes the Design, Development, Testing, and Deployment of a PEPFAR data collection and reporting module in the FACTS Info system. Upon completion, the project will deliver a single source for full PEPFAR data collection and reporting of HIV/AIDS funding. The modules will be available to all internal and external PEPFAR implementing agency users at sites located around the world, both in and outside of embassy compounds.			

EXHIBIT 300   **UII 014-000000329**

Table II.B.1 Projects					
Project ID	**Project Name**	**Project Description**	**Project Start Date**	**Project Completion Date**	**Project Lifecycle Cost ($M)**
14	FY12 FACTS Info Development	Includes same entries as FY11 FACTS Info Development. Also, many changes are occurring within the budgeting and performance reporting of Foreign Assistance, driven by several initiatives, including: Streamlining, Quadrennial Diplomacy and Development Review (QDDR), and USAID Forward. As a result of this, FACTS Info may potentially support the data collection needs for the following initiatives: GHI, GHFSI, and GCC.			
15	FY12 PEPFAR Module Development	This initiative includes the Design, Development, Testing, and Deployment of a PEPFAR data collection and reporting module in the FACTS Info system. Upon completion, the project will deliver a single source for full PEPFAR data collection and reporting of HIV/AIDS funding. The modules will be available to all internal and external PEPFAR implementing agency users at sites located around the world, both in and outside of embassy compounds.			

Activity Summary								
Roll-up of Information Provided in Lowest Level Child Activities								
Project ID	**Name**	**Total Cost of Project Activities ($M)**	**End Point Schedule Variance (in days)**	**End Point Schedule Variance (%)**	**Cost Variance ($M)**	**Cost Variance (%)**	**Total Planned Cost ($M)**	**Count of Activities**
11	FY11 FACTS Info Development							
12	FY11 PEPFAR Module Development							

EXHIBIT 300   **UII 014-000000329**

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities ($M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance ($M)	Cost Variance (%)	Total Planned Cost ($M)	Count of Activities
14	FY12 FACTS Info Development							
15	FY12 PEPFAR Module Development							

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
12	System test		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Licenses		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Enhancements as a result of government policy		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
12	System release to production environment		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	System software		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Enhancements as a result of directives		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
12	System/data migration		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Formal user training		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Business case development		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
12	Production environment		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Training materials		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Requirements specification		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
12	Pilots		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Performance		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%

EXHIBIT 300   **UII 014-000000329**

			Key Deliverables					
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
	enhancements							
11	Performance measurement planning		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
12	Design documents		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	C&A		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Acquisition & procurement activities		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
12	Technical specification		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Planning		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Servers		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
12	Development environment and support		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Program management office		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Networks		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	System documentation		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Contract management		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
12	Test plans		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Databases		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	General project management and control		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
12	Test environment and support		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Application software		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
11	Architecture and planning support		2011-09-30	2011-09-30	2011-09-30	364	0	0.00%
15	System release to production		2012-08-30	2012-08-30		334	-1	-0.30%

EXHIBIT 300   UII 014-000000329

		Key Deliverables						
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
	environment							
15	System/data migration		2012-08-30	2012-08-30		334	-1	-0.30%
15	Pilots		2012-08-30	2012-08-30		334	-1	-0.30%
15	Production environment		2012-08-30	2012-08-30		334	-1	-0.30%
15	Test plans		2012-08-30	2012-08-30		334	-1	-0.30%
15	Test environment and support		2012-08-30	2012-08-30		334	-1	-0.30%
15	System test		2012-08-30	2012-08-30		334	-1	-0.30%
15	Design documents		2012-08-30	2012-08-30		334	-1	-0.30%
15	Development environment and support		2012-08-30	2012-08-30		334	-1	-0.30%
15	Technical specification		2012-08-30	2012-08-30		334	-1	-0.30%
14	Program management office		2012-09-30	2012-09-30		365	0	0.00%
14	Business case development		2012-09-30	2012-09-30		365	0	0.00%
14	Contract management		2012-09-30	2012-09-30		365	0	0.00%
14	Acquisition & procurement activities		2012-09-30	2012-09-30		365	0	0.00%
14	General project management and control		2012-09-30	2012-09-30		365	0	0.00%
14	Requirements specification		2012-09-30	2012-09-30		365	0	0.00%
14	Architecture and planning support		2012-09-30	2012-09-30		365	0	0.00%
14	Performance measurement planning		2012-09-30	2012-09-30		365	0	0.00%

					Key Deliverables			
Project Name	**Activity Name**	**Description**	**Planned Completion Date**	**Projected Completion Date**	**Actual Completion Date**	**Duration (in days)**	**Schedule Variance (in days)**	**Schedule Variance (%)**
14	Planning		2012-09-30	2012-09-30		365	0	0.00%
14	Application software		2012-09-30	2012-09-30		365	0	0.00%
14	C&A		2012-09-30	2012-09-30		365	0	0.00%
14	Databases		2012-09-30	2012-09-30		365	0	0.00%
14	Networks		2012-09-30	2012-09-30		365	0	0.00%
14	Licenses		2012-09-30	2012-09-30		365	0	0.00%
14	Servers		2012-09-30	2012-09-30		365	0	0.00%
14	System software		2012-09-30	2012-09-30		365	0	0.00%
14	Performance enhancements		2012-09-30	2012-09-30		365	0	0.00%
14	Formal user training		2012-09-30	2012-09-30		365	0	0.00%
14	Enhancements as a result of government policy		2012-09-30	2012-09-30		365	0	0.00%
14	Transition to operational team		2012-09-30	2012-09-30		365	0	0.00%
14	Training materials		2012-09-30	2012-09-30		365	0	0.00%
14	Enhancements as a result of directives		2012-09-30	2012-09-30		365	0	0.00%
14	Formal sign-off and acceptance tasks		2012-09-30	2012-09-30		365	0	0.00%
14	System documentation		2012-09-30	2012-09-30		365	0	0.00%

EXHIBIT 300   **UII 014-000000329**

Section C: Operational Data

				Table II.C.1 Performance Metrics				
Metric Description	**Unit of Measure**	**FEA Performance Measurement Category Mapping**	**Measurement Condition**	**Baseline**	**Target for PY**	**Actual for PY**	**Target for CY**	**Reporting Frequency**
Number of agencies with access to FACTS and/or FACTS Info	Number	Process and Activities - Productivity	Over target	2.000000	2.000000	2.000000	2.000000	Semi-Annual
Percentage of system availability	Percentage	Technology - Reliability and Availability	Over target	98.000000	98.000000	99.000000	98.000000	Monthly
Number of users trained	Number	Technology - Effectiveness	Over target	140.000000	140.000000	280.000000	250.000000	Quarterly
Number of users with system access	Number	Mission and Business Results - Management of Government Resources	Over target	3000.000000	3000.000000	4635.000000	4600.000000	Semi-Annual
Percentage of user help desk tickets responded to within 24 hours (excluding weekend/holidays)	Percentage	Technology - Efficiency	Over target	90.000000	90.000000	93.730000	90.000000	Semi-Annual
Percentage of positively rated customer satisfaction scores	Percentage	Customer Results - Service Quality	Over target	55.000000	55.000000	74.000000	62.000000	Semi-Annual